

DEPENDABILITY EVALUATION OF SAFETY INSTRUMENTED SYSTEMS INCORPORATING INTELLIGENT INSTRUMENTS

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ABSTRACT

In this paper, the modelling and thus the performance evaluation relating to the dependability are studied for structures which have intelligence in the instruments constituting the Safety Instrumented Systems (SIS) in order to determine the contribution of the intelligent instruments in the safety applications. Dynamic approach using Stochastic Petri Nets (SPN) is proposed and the metrics used for the evaluation of the dependability of the Intelligent Distributed Safety Instrumented Systems (IDSIS) refer to two modes of failures mentioned by the safety standards: mode of dangerous failure and mode of safe failure.

Keywords: Communication Network, Safety Instrumented Systems, Mode of dangerous failure, Mode of safe failure, Stochastic Petri Nets, Sampling Period.

1. INTRODUCTION

Safety Instrumented Systems (SIS) has been used for many years to perform safety instrumented functions in the process industries (IEC61511 2003). The SIS are defined as the collection of all safety related sensing elements to determine an emergency situation, all safety related logic solvers to determine what action to take and all safety related final elements to implement the action (Knegtering 2002).

Adding a network communication and intelligent instruments such as intelligent sensors and actuators to the SIS makes this system intelligent. These instruments with a network communication provide several advantages such as integration of diagnosis, cabling reduction, increasing of reconfigurability etc (Lian, Moyne, and Tilbury 2002).

The problem is to quantify the contribution of the use of the intelligent instruments in the safety loops in compliance with related standards. In safety systems many studies were developed for dependability evaluation. These approaches ignore the use of intelligent instruments.

The dependability evaluation of such systems is a difficult task and can concern two approaches: a static

approach which deals with the analysis of functioning and malfunctioning states of a given architecture, and a dynamic approach which takes into account the progressive evolution of system states and functioning modes (Mkhida, Thiriet, and Aubry 2008). The potential application of such systems is very widespread from electronic trippers to some critical real-time systems such as X-by wired cars, drones (Berbra, Lesecq, Gentil, and Thiriet 2007) or aeronautics...

The use of the intelligent instruments in safety applications leads to the concept of the intelligent safety where advanced safety systems are developed for collecting and analysing data on the detection of dangerous conditions. This should provide a basis for creating new intelligent safety systems. The intelligent safety loops (or intelligent distributed safety instrumented systems) enable us to implement safer plants by using the intelligent devices for safety application in compliance with the new IEC 61511 standard.

The methodology used for the dependability evaluation for these systems consists on the structuring and the modelling of these systems; the purpose is to make the verification and analysis by mean of stochastic Petri nets in order to exploit the models.

Modelling is achieved by a stochastic approach using the Stochastic Activity Network (SAN). The SAN is a powerful formalism of the stochastic Petri nets (Moghavar and Meyer 1984).

The following sections describe the intelligent distributed safety instrumented systems, how they are modelled and the procedures proposed for their evaluation. The two metrics related to safety performance (PFD & PFS) are calculated. The results are compared, presented and conclusions are drawn.

2. INTELLIGENT INSTRUMENT

2.1. Intelligent Distributed Control Systems (IDCS)

Intelligent or smart instruments have been known for more than two decades. These instruments are more sophisticated than traditional instruments (Mekid 2006).

An intelligent instrument is a component part of Intelligent Distributed Control Systems. These systems are thus composed of smart sensors and actuators with calculations capabilities and communication interfaces. Traditional control systems are connected by analogue current (4-20 mA) and voltage loops whereas IDCs are connected by a communication network (generally a fieldbus).

The particularity of a distributed system relates to data exchange between the devices via a communication medium supposed to be a network or a fieldbus. Thereby, the intelligent distributed control system becomes more and more complex and sophisticated, and consequently, makes the design step more difficult.

2.2. Structure and functions of an intelligent instrument

The intelligent instruments offer the possibility of a local processing of the information which is distributed on the various entities thus allowing a distribution of the execution of the tasks and appearing a distributed control.

The intelligent instruments are basic instruments that contain microprocessors (Nobes 2004), these instruments meet the following criteria:

- the main purpose of the instrument is to measure or directly control a single process variable,
- these instruments include some flexibility in their use due to parameters that are set by the manufacturer or operator,
- intelligent instruments are not restricted to measurements but also include actuators (valves, motors) and other control equipment.

Intelligent instruments offer considerable lifetime cost reductions. These cover the whole life cycle, including specification, installation, operation, maintenance, decommissioning...

Various functionalities have been suggested for intelligent sensors. (Robert, Riviere, Noizette, and Hermann 1993) proposed that the intelligent sensors should contain configuration, communication, measurement and validation functionalities. (Meijer 1994) includes three functionalities: compensation, computing and communication. (Tian, Zhao, and Baines 2000) suggested the functions of compensation, validation, data-fusion, and communication. While (Mekid 2006) propose that what is called an intelligent sensor should have the functions of compensation, processing, communication, validation, integration and data-fusion.

We propose for the generic intelligent instrument (Mkhida, Thiriet, and Aubry 2008) the functions of measurement, configuration, test, validation, control and communication. Figure 1 illustrates these functionalities.

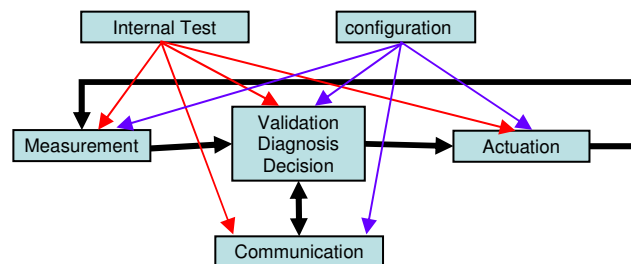


Figure 1: Functional architecture of an intelligent instrument

3. SAFETY INSTRUMENTED SYSTEMS

Safety instrumented systems are used in many industrial processes to reduce the consequences of process demands on humans, the environment and material assets.

Different standards can be used to design safety instrumented systems for process industry like IEC 61508 and IEC 61511 (IEC61508 2000, IEC61511 2003, ISA84 1996). These standards have been developed to ensure that the SIS is designed, implemented and operated according to the specified needs.

3.1. Objectives of a safety instrumented system

The IEC 61511 defines a safety function as: “function to be instrumented by a SIS, other technology safety-related system or external risk, which is intended to achieve or maintain a safe state for the process, with respect to a specific hazardous event”. The Safety Instrumented Function (SIF) is used to describe the safety functions implemented by instrumented technology. The SIS is the physical system implementing one or more SIFs.

The objectives of SIS is to reduce the frequency at which hazard may occur to an acceptable level (Wiegerinck 2002). The safety function only reduces the risk (multiplication: probability x consequences) and never completely eliminates the risk. Some safety functions do not reduce the probability of the consequences.

3.2. Safety Integrity levels (SIL)

Once the required level of risk reduction to be achieved by the SIS is established, expressed as risk reduction factor (RRF), this level can be translated into the required Safety Integrity Level (SIL) (Knegtering 2002).

IEC 61508 and IEC 61511 split the safety integrity into hardware safety integrity and systematic safety integrity. The safety integrity is split into four discrete safety integrity levels (SILs), SIL1 to SIL4. Each SIL represents a maximum allowed probability of failure on demand of the SIS. SIL4 is the level with the most stringent requirements. To fulfil a specific SIL, the SIL requirements related to hardware safety integrity as well as systematic safety integrity must be met (Lundteigen and Rausand 2006).

To calculate the SIL of a safety function it is required that the complete safety loop from sensor to actuator is

considered. Therefore, it is not sufficient to only analyze one subsystem of the safety process, such as the logic solver, and determine the realized SIL.

4. INTELLIGENT SAFETY CONCEPT

The intelligent safety loops (or intelligent distributed safety instrumented systems) enable us to implement safer plants by using the intelligent devices for safety application in compliance with the new IEC 61511 standard.

We introduce the concept of intelligent safety to show the inherence in the use of intelligence within safety instrumented systems. Several manufacturers claim the certification of intelligent products in the applications of safety while launching “smart SIS” but without real justification to the level of the reliability performances. The use of intelligent instruments in the process industry was facilitated by the increasing of microprocessor performances; microprocessors are used in particular in instrumentation. The justification of the use of these instruments in safety applications is not completely proven; even if these instruments have important benefits which are useful for this type of applications (Nobes 2004).

There is a trend toward the use of intelligent instruments in safety applications. The inherent ability to diagnose failure is the primary reason. The ability of intelligent instruments to reliably measure complex parameters is another reason.

Intelligent instruments offer a means of incorporating higher levels of intelligence into safety instrumented systems to make them more efficient.

5. MODELLING AND TOOLS

5.1. Stochastic Activity Network

Stochastic activity networks (SANs) (Movaghar and Meyer, 1984) are a stochastic generalization of Petri nets. SANs are a powerful modelling formalism which extends GPSN (generalized stochastic Petri nets) in many ways. These models allow the representation of concurrency timeliness, fault-tolerance and degradable performance in a single model. SANs are more flexible than most other stochastic extensions of Petri nets (Abdollahi and Movaghar, 2005).

The most relevant high-level modelling constructs offered are the input and output gates, which allow specifying controls on the net execution and define the marking changes that will occur when an activity completes. SANs also consist structurally of activities and places. Activities which are similar to transition in normal Petri nets are of two types: timed and instantaneous. Timed activities represent activities of the modelled system whose duration impact the performance. Instantaneous activities represent system activities which occur immediately.

SAN models have been used to evaluate a wide range of systems and are supported by several modelling tools

such as UltraSAN (Sanders, Obal, Qureshi and Widjanarko 1995) and Möbius (Deavours, Clark, Courtney, Dalys, Derisavi, Doyle, Sanders, and Webster 2002). The models are developed using this SAN-based tool. Möbius tool consists in the description of the net structure and of the desired performance variables and solutions methods to be used in the evaluation.

SAN is defined with the express purpose of facilitating the performance in the dependability evaluation by defining a set of measures in the model. In the context of Stochastic Petri Nets, these measures are derived from the concept of reward (Malhotra and Trivedi, 1995).

5.2. Modelling approach

The modelling problem consists in achieving the evaluation of dynamic systems which evolve as a function of the time. SPNs give the possibility to model a system in which cooperate discrete-time variables and discrete events, which can occur on a stochastic basis. The formalism used for the behavioural modelling will naturally be able to represent these characteristics. The use of this formalism will allow the representation of a component failure in interaction with the functional behaviour.

Petri nets, as tools for discrete event simulation are of large use in dependability evaluation. Dynamic changes in the PNs are induced by transition firing. Firing of one or of several transitions changes the net marking. In other terms, it induces a discrete change of states. Thus, dynamic properties of PNs such as parallel firing, successive firing or firing of concurrent transitions may be used to simulate complex events sequences (Vernez, et al., 2003).

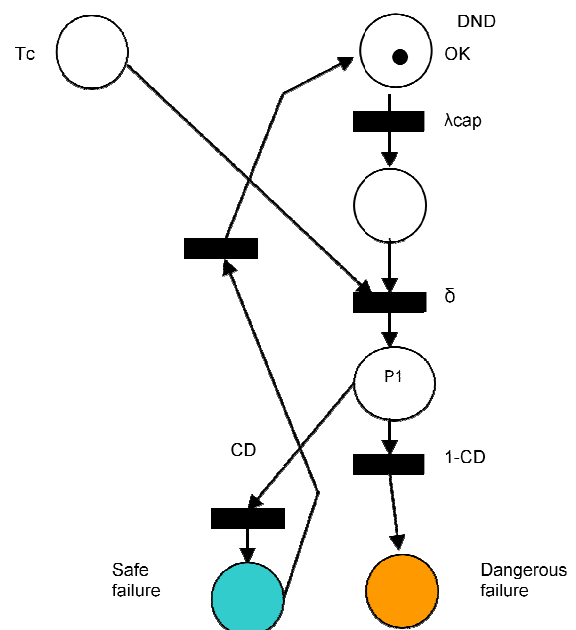


Figure 2 : Example of sensor modelling and its failures

In the present approach, it is assumed that the failure distributions of individual components of a system are given, and the dependability measures of the stochastic system are sought. Furthermore, the system is assumed to be dynamic (its properties change with time). For all the models, they are made in the stochastic Petri nets (SPN) and they are transformed in the stochastic activity network (SAN).

In the modelling of the system, the functional and dysfunctional aspects coexist and the dynamic approach using the Stochastic Activity Network (SAN) is proposed to overcome the difficulties mentioned above. Monte-Carlo method is used to assess the dependability parameters in compliance with safety standards related to SIS (IEC 61508 & IEC 61511). The proposed method and associated tools allow this evaluation by simulation and thus provide assistance in designing SIS integrating intelligence.

At the beginning, we present SIS without incorporation of intelligent instruments nor communication network. The model of the logic solver is shown in figure 3.

managed locally according to a policy allocating the same duration of test for the various devices and starting with the test of the sensor (T_c), then the actuator (T_v) and finally the logic solver (T_a). This policy is not the only possible and other policies can be possibly established. For the dysfunctional part, it should be made sure that the token is carried of functional part when the system fails safe or dangerous. The logic solver can be also restored in the event of safe failure and it also has a coverage rate of diagnosis which is inherent to him.

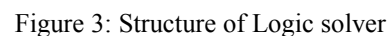


Figure 4 : Network model

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network is made up in this example of three transmitting stations which will be able to send messages on a shared medium (transmission channel). The messages sent are placed in buffer places which are extended places, messages then await the release of the channel to be transmitted towards their destination. The channel access is based on messages priorities. This means that each subscriber will be affected by a priority allowing him or not to send these characteristics via the channel, to avoid the collisions on the channel. The medium (channel) is affected of a delay representing the time of transmission of the messages. The output message of the channel is ready to be sent and it is available in the place "received".

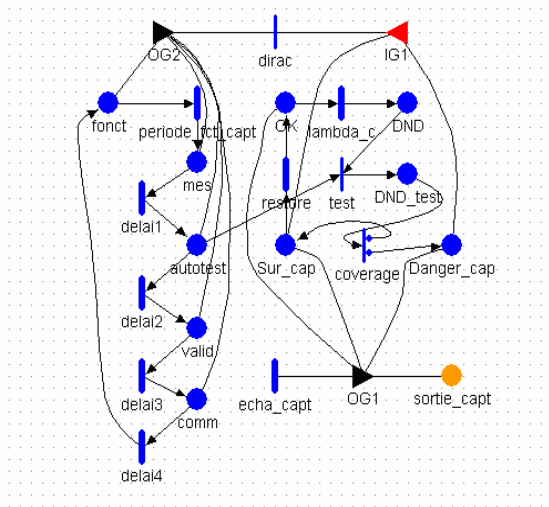


Figure 5: intelligent sensor model

In the intelligent sensor model (see figure 5), the order of test is not transmitted any more via communication network by the logic solver and the functionality relating to the test as well as the other functionalities of the intelligent sensor is treated locally. The modules of test can be requested either when it is necessary, or in a cyclic way, or permanently according to an active monitoring principle (the intelligent sensor has at one's disposal a self-tests). In our model, the intelligent sensor has self-tests in a cyclic way according to the instants of period. The other functionalities are also synchronized by this period.

The model of the actuator does not differ much from that of the intelligent sensor and the dysfunctional parts are similar. It should be noted that the model of the sensor, the actuator and the logic solver are sampled models and information is sent to the communication network periodically.

6. RESULTS OF SIMULATION

When analytical methods fail to provide results, the traditional way is to use Monte Carlo simulations (Signoret, et al., 2007). For doing that, a model simulates properly the behaviour of the system upon failure. With the Möbius models, parameters to evaluate like probability or sojourn of time I any place is defined

via reward functions (Malhorta and Trivedi, 1995). The procedure used for the calculus of probability of dangerous failures (PFD) and the probability of safe failures (PFS) consists in the presence of tokens in the places which describe the safe failures and the dangerous failures for the whole system.

Reference diagnostics are performed by a single instrument. The notation coverage is used to designate the dangerous diagnostic coverage factor due to single unit reference diagnostics. The coverage factor of diagnostics will vary widely with results ranging from 0 to 99%. The coverage rate of diagnosis is taken in this example equal to 60% for all the devices. It was chosen in compliance with the IEC 61511 standard. The activity "restore" is the time required to restart the component after a shutdown. The failures rates are obtained from industry databases (Goble and Cheddie, 2005). These data used in safety components to evaluate their dependability parameters are approximate. Also, due to the lower solicitation of safety systems in process, safety components have not been operating long enough to provide statistical valid failure data. Furthermore, measuring and collecting failure data have uncertainty associated with them, and borrowing data from laboratory and generic data sources involve uncertainty as well.

The procedure used for the calculus of probability of dangerous failures PFD and the probability of safe failures PFS consists of the presence of tokens in the places which describe the safe failures and the dangerous failures for the whole system.

Figure 6 shows the evolution of PFD and PFS for a 10000 hours duration which is a little higher than one year (8760 hours).

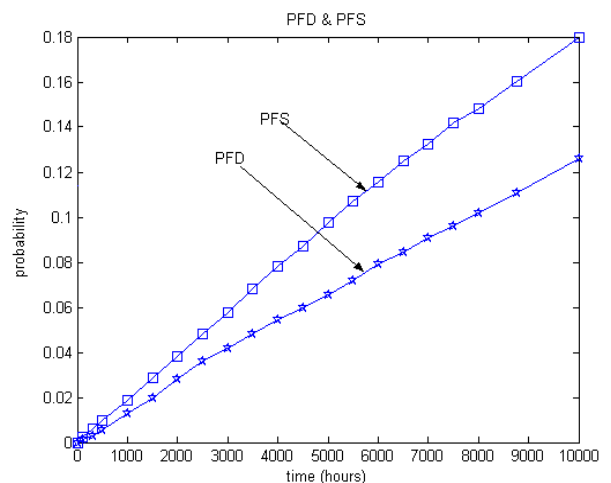


Figure 6: Evolution of PFD and PFS according to time

The coverage rate of diagnosis is taken in this example equal to 60% for the whole of the devices. The period of the self-tests operated by the logic solver is selected equal to one hour. I.e., that in compliance with the policy of test chosen, the duration of cycle of the logic

solver is 3 hours. Also let us note that this duration affects the PFD and PFS when it is changed. For example, for a value of period of test of 1 hour such as it is presented in figure 7, the PFD is 0.1113 and the PFS is 0.1604 for a time of 8760 hours mission. While these values apply to one duration of test of the logic solver equal to 2 hours, the PFD passes to 0.107 and the PFS becomes equal to 0.165. It is to show the influence of the frequency of the period of the test of the logic solver on the total performances in safety of the system.

Now, we will be interested in the performance evaluation in safety after the introduction of the intelligent instruments. The results are given for duration of simulation equal to 8760 hours.

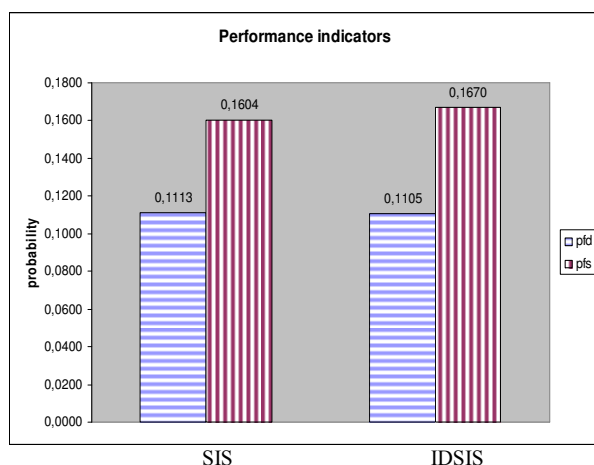


Figure 7: Safety performances for SIS and IDSIS

The evolution of the two metrics (see figure 6) which describe the performances in safety of the studied system show a clear improvement of the probability of dangerous failure according to the addition of intelligence and a weak degradation of the probability of safe failures. Indeed, more there are high rates of detection by the integrated means of self-tests, more the failure rate weakens and the safe failure rate increases by the means of the dangerous failures which were transformed into some kind of safe failures.

The addition of the validation functionality (intelligence) has contributed to improve safety performance slightly. Indeed, the probability of dangerous failures will have to improve further with the introduction of intelligence in the actuators.

The difference between the performance of conventional systems and systems with intelligence is not significant. But in a context of functional safety, differences between the safety integrity levels (SIL) are very small and any variation remains important.

The parameters used for the system without network are listed with the same values as before. Other parameters are introduced; they are suitable for use in the communication network such as the delay in the transmission, the sampling periods of different devices (sensor, controller and actuator).

The communications network ensures timely transmission below the sampling period. It is the only component in the system that works in a manner of events. The delay is constant. It should be noted that the emphasis is not on the influence of the delay or loss or alteration of frames.

The introduction of the network has resulted in some changes in the basic models of the components of the safety circuit to take account of the mechanisms of transmission of data over the network. We proceeded to check the values of the sampling period other than $T_e = 0.5$ s and we obtained the following results:

Table 1: Performance safety based on the sampling period

T_e	0,5	1	5	8
PFD	$7,7.10^{-2}$	$7,33.10^{-2}$	$7,08.10^{-2}$	$7,03.10^{-2}$
PFS	$2,1.10^{-1}$	$2,078.10^{-1}$	$2,05.10^{-1}$	$1,995.10^{-1}$

In the Table 1, the evolution of the two metrics which describe the performances in safety of the studied system show an improvement of the probability of dangerous failure and the probability of safe failures according to the change of the sampling period.

7. CONCLUSION

This paper deals with the assessment of Safety Instrumented Systems using intelligence in the field devices. The integration of intelligent instruments within safety oriented applications presents a challenge. The justification for using these instruments in safety applications is not fully proven and the dependability evaluation of such systems is not trivial.

The performance of these systems is evaluated and compared to the systems without intelligence. The results express the safety performance of these systems, using the particular modelling assumptions made, and the reliability data chosen. Some parameters have a significant impact on the PFD and PFS values, but the accent was put on the contribution of intelligence on the SIS. The setting of the intelligence in SIS improves the PFD but not in a significant way and degrades the PFS slightly.

Special focus was given to the intelligent instruments introduced in the SIS with their failures without counting the failure of network that can influence the performance of safety. In general, the probability of dangerous failures decreased and the probability of failure safe. This is due to the fact that information concerning the failures is only sent during discrete moments and not continuous. The performances are indeed very sensitive to sampling periods of different devices. And thus the moments of revelations depend

largely on the sampling periods, thus causing the change of values of these two metrics.

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